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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 7

Application Number	10/598,215
Filing Date	August 21, 2006
First Named Inventor	Dilip K. Nakhasi
Art Unit	3844
Examiner Name	
Attorney Docket Number	0803-0111.03

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
E.G./		Number-Kind Code ² (if known)			
		US- 6,087,353	07-11-2000	Stewart et al.	
		US- 6,106,886	08-22-2000	van Amerongen et al.	
		US- 6,113,972	09-05-2000	Corliss et al.	
		US- 6,117,475	09-12-2000	van Amerongen et al.	
		US- 6,149,961	11-21-2000	Kepplinger et al.	
		US- 6,139,897	10-31-2000	Goto et al.	
		US- 6,174,560 B1	01-16-2001	Miettenen et al.	
		US- 6,190,720 B1	02-20-2001	Yuan et al.	
		US- 6,228,407 B1	05-08-2001	Kepplinger et al.	
		US- 6,274,574 B1	08-14-2001	Akashe et al.	
		US- 6,277,431 B1	08-21-2001	Berry et al.	
		US- 6,326,050 B1	12-04-2001	Goto et al.	
		US- 6,376,482 B2	04-23-2002	Akashe et al.	
		US- 6,399,138 B1	06-04-2002	Cain et al.	
		US- 6,413,571 B1	07-02-2002	Liu	
		US- 6,562,395 B2	05-13-2003	Wester et al.	
		US- 6,576,285 B1	06-10-2003	Bader et al.	
		US- 6,623,780 B1	09-23-2003	Stevens et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	*4
E.G./		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 01/13733 A1	03-01-2001	Masui et al.		
		WO 01/32029 A2	05-10-2001	Zawistowski		
		WO 01/91587 A2	12-06-2001	Zawistowski et al.		
		WO 02/16534	02-28-2002	Takeuchi et al.	Abstract	

Examiner Signature	/Elizabeth Gwartyne/	Date Considered	10/12/2009
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 7**Complete if Known**

Application Number	10/598,215
Filing Date	August 21, 2006
First Named Inventor	Dilip K. Nakhasi
Art Unit	3744
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/E.G./ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		Number-Kind Code ² (if known)			
		US- 2002/0001660 A1	01-03-2002	Takeuchi et al.	
		US- 2002/0012722 A1	01-31-2002	Prosise et al.	
		US- 2002/0015759 A1	02-07-2002	Prosise et al.	
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		US- 2002/0031595 A1	03-14-2002	Wester et al.	
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		US- 2002/0045000 A1	04-18-2002	Nakajima et al.	
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		US- 2003/0175404 A1	09-18-2003	Nakhasi et al.	
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		Country Code ² -Number ³ -Kind Code ⁴ (if known)				

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/Elizabeth Gwartney/

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Application Number	10/598,215
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First Named Inventor	Dilip Nakhasi
Art Unit	3744
Examiner Name	
Attorney Docket Number	0803-0111.03

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U. S. PATENT DOCUMENTS

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/E.G./		us- 3,450,819	06-17-1969	Babayan et al.	
		us- 3,592,661	07-13-1971	Seiden	
		us- 3,595,673	07-27-1971	Seiden	
		us- 4,832,975	05-23-1989	Yang	
		us- 5,288,512	02-22-1994	Seiden	
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		us- 6,106,886	08-22-2000	van Amerongen et al.	
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		us- 6,835-408 B2	12-28-2004	Takeuchi et al.	

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Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ "Number" "Kind Code" (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	*
/E.G./		WO 98/19556	05-14-1998	Raisio Yhtymä OYJ		
		WO 98/06405 A1	09-12-2000	Raision Tehtaat OY AB		
		WO 01/32035 A1	05-10-2001	Raisio Benecol OY		
		WO 02/055639 A1	07-18-2002	Karl-Shams AB		
		WO 00/03609	01-27-2000	Merck Patent GMBH		

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/Elizabeth Gwartyne/

Date
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09/29/2009

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Application Number	10/598,215
Filing Date	August 21, 2006
First Named Inventor	Dilip Nakhasi
Art Unit	3744
Examiner Name	
Attorney Docket Number	0803-0111.03

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U. S. PATENT DOCUMENTS

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E.G./		us- 6,929,816 B2	08-16-2005	Wester	
		us- 2003/0068425 A1	04-10-2003	Khare	
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FOREIGN PATENT DOCUMENTS

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Signature

/Elizabeth Gwartney/

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Application Number	10/598,215
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First Named Inventor	Dilip K. Nakhasi
Art Unit	3744
Examiner Name	
Attorney Docket Number	0803-0111.03

Sheet	5	of	7
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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/E.G./	AA	ST-ONGE, ROSS, PARSONS and JONES, "Medium Chain Triglycerides Increase Energy Expenditure and Decrease Adiposity in Overweight Men,"	
/E.G./		Obesity Research, Vol. 11 No. 3, March 2003, pp. 395-402.	
/E.G./	BB	ST-ONGE and JONES, "Phytosterols and Human Lipid Metabolism: Efficacy, Safety, and Novel Foods," Lipids, Vol. 38, no. 4, April 2003, pp. 367-375, AOCS Press.	
/E.G./	CC	ST-ONGE and JONES, "Greater Rise in Fat Oxidation with Medium Chain Triglyceride Consumption Relative to Long Chain Triglyceride is Associated with Lower Initial Body Weight and Greater Loss of Subcutaneous Adipose Tissue," In Press IJO Aug. 13, 2003.	
/E.G./	DD	ST-ONGE, LAMARCHE, MAUGER and JONES, "Consumption of a Functional Oil Rich in Phytosterols and Medium-Chain Triglyceride Oil Improves Plasma Lipid Profiles in Men," Journal of Nutrition, Vol. 133, pp. 1815-1820, 2003.	
/E.G./			

Examiner Signature	/Elizabeth Gwartney/	Date Considered	09/29/2009
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of

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Attorney Docket Number 0803-0111.03

Complete if Known

Application Number	10/598,215
Filing Date	August 21, 2006
First Named Inventor	Dilip Nakhasi
Art Unit	3744
Examiner Name	
Attorney Docket Number	0803-0111.03

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/E.G./	EE	WESTER, "Cholesterol-lowering effect of plant sterols", XP-002216851, EUR, J. LIPID SCI, TECHNOL., 2000, pgs. 37-44.	
/E.G./	FF	PCT Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration; PCT/US2004/030663; Dated December 22, 2004.	
/E.G./	GG	PCT International Search Report; PCT/US2004/030663; Dated December 22, 2004.	
/E.G./	HH	PCT Written Opinion of the International Searching Authority; PCT/US2004/030663; Dated December 22, 2004.	
/E.G./	II	SWERN, D., 1979, Bailey's Industrial Oil and Fat Products, Vol. 1, 4 th Edition. John Wiley & Sons, New York, pgs. 54-55, 210-211.	
/E.G./	JJ	SWERN, D., 1970, Bailey's Industrial Oil and Fat Products Vol. 1, 4 th Edition, John Wiley & Sons, New York, pgs 178-180.	
/E.G./	KK	DATABASE FSTA "Online! INTERNATIONAL FOOD INFORMATION SERVICE (IFIS), FRANKFURT-MAIN, DE: 2003; ST-ONGE M P ET AL; "Consumption of a functional oil rich in phytosterols and medium-chain triglyceride oil improves plasma lipid profiles in men."	
/E.G./		XP002331082 - Database accession no. 2003-00-n0737 abstract -& ST-ONGE M-P: JOURNAL OF NUTRITION, vol. 133, no. 6, 2003, pages 1815-1820; XP002331081 Correspondence (reprint) address, P.J.H. JONES, SCH. OF DIETETICS & HUMAN NUTR.	
/E.G./		MCGILL UNIV., STE-ANNE-DE-BELLEUVUE, QUE. H9X 3V9, CANADA, E-MAIL JONESP(A) MACDONALD.MCGILL.CA.	

Examiner Signature	/Elizabeth Gwartney/	Date Considered	09/29/2009
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NON PATENT LITERATURE DOCUMENTS

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/E.G./	LL	DATABASE BIOSIS "Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; June 2003 (2003-06), BOURQUE CHRISTINE ET AL: "Consumption of an oil composed of medium chain triacylglycerols, phytosterols, and n-3 fatty acids improves	
/E.G./		cardiovascular risk profile in overweight women." XP002331083 Database accession no. PREV200300363233 abstract	

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